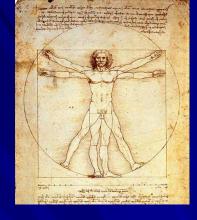
Collaborating Across Boundaries: Insights for Human Resources, Sustainability and Governance

Rosemary O'Leary, Ph.D.
Edwin O. Stene Distinguished Professor;
President, Public Management Research Association; and Director, School of Public Affairs and Administration University of Kansas, USA

Why Collaborate? Think DaVinci



- Lateral thinking
- Creativity that stems from taking knowledge from one context or discipline and applying it to another
- DaVinci: art, science, engineering, mathematics, medicine, architecture

Human arm →bird's wing →flying machine

What about mandated collaboration?

Our Research

Survey of US Senior Executive Service, US Local Government Managers, and Country of India Senior Forest Service Officers

- 15 questions: replicated research in New Zealand and Hong Kong
- Defined term: <u>Collaboration</u> means to work across boundaries and in multi-organizational arrangements to solve problems that cannot be solved or easily solved by single organizations. (Agranoff & McGuire, 2004)

Why Collaborate?

Indian Forest Service:

- #1 Improves outcomes
- #2 Improves problem solving processes

U.S. Senior Executive Service:

- #1 Mandated
- #2 Improves outcomes

U.S. Local Government Managers:

- #1 Right thing to do
- #2 Leverage resources
- #3 Improve outcomes

What Makes Collaboration Work?

Indian Forest Service:

#1 Relational

U.S. Senior Executive Service:

#1 Relational

U.S. Local Government Managers:

#1 Relational

Consequences of Collaboration: Positives

Indian Forest Service:

#1 Better results/outcomes (economic, quality of product, accomplish more, timely)

U.S. Senior Executive Service:

#1 Better outcomes (quality of the product, economic, synergy effect, learning)

U.S. Local Government Managers:

#1 Better outcomes (economic, quality of product, public service, mission accomplishment)

Consequences of Collaboration: Negatives

Indian Forest Service:

- #1 Impedes process
- #2 Relational (conflict)
- #3 Poor outcomes

U.S. Senior Executive Service:

- #1 Process (time consuming)
- #2 Relational (conflict)
- #3 Poor outcomes

U.S. Local Government Managers:

- #1 Process (time consuming)
- #2 Relational (conflict)
- #3 Stress

Challenges to Collaboration

Indian Forest Service:

#1 Relational

#2 Organizational

U.S. Senior Executive Service:

#1 Relational

#2 Logistical

U.S. Local Government Managers:

#1 Political

#2 Relational

Skillset of the Collaborator

Indian Forest Service:

- #1 Individual attributes (communication, open mind)
- #2 Conflict management

U.S. Senior Executive Service:

- #1 Individual attributes (open mind, communication)
- #2 Group process skills (includes conflict management)

U.S. Local Government Managers:

- #1 Individual attributes (open mind, unselfish, patient, trustworthy)
- #2 Interpersonal skills

Importance of the Individual

- Frederickson (2007): While organizations and established jurisdictions do formally collaborate, it is always in the form of managers and officials.
- Effective collaboration is "deeply dependent" upon the skills of officials and managers.



Organizations may appear to collaborate, but in fact it is the individuals representing organizations who collaborate.

The Leadership Challenge

More than 90% of global executives surveyed by the Center for Creative Leadership said collaboration is vital for leadership success.

But less than half of those same executives said the leaders in their organizations were actually good at it.

The Transboundary Challenge

Solutions often transcend the position of any single participant

Salamon (2005) "... [S]hifts the emphasis <u>from</u> management skills and the control of large bureaucratic organizations <u>to</u> <u>enablement skills</u>, the skills required to engage partners arrayed horizontally . . . to bring multiple stakeholders together for a common end in a situation of interdependence."

The Collaboration Challenge

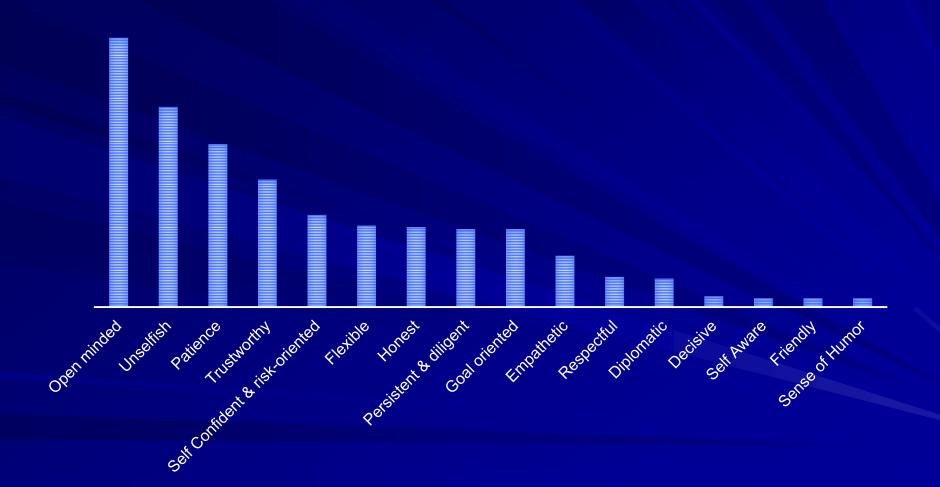
"Leading when you are not in charge"



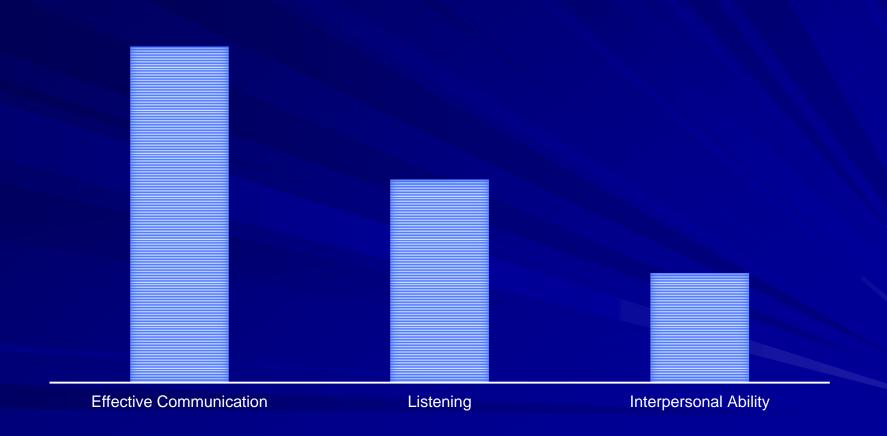
Skillset of Collaborator



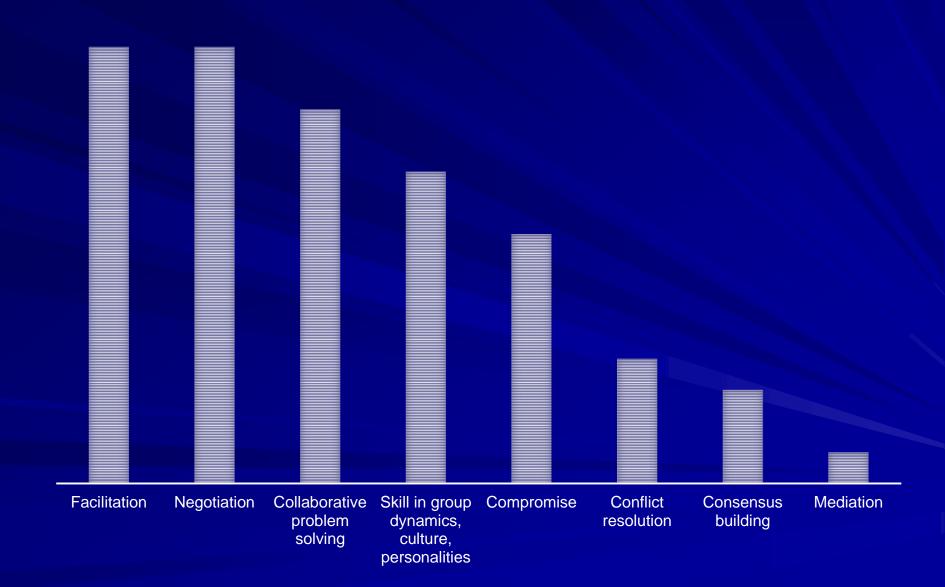
Individual Attributes



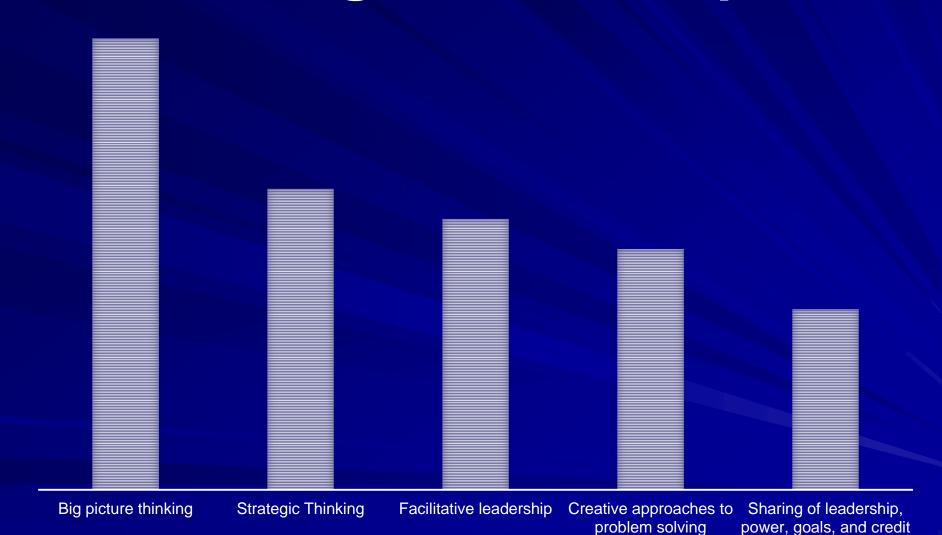
Interpersonal Skills



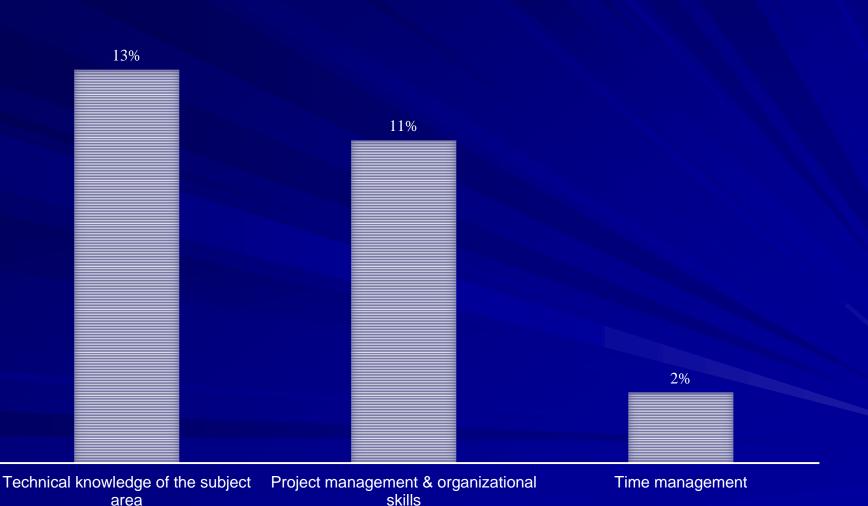
Group Process Skills



Strategic Leadership



Substantive/Technical Knowledge



Skills Needed to Work Collaboratively

- "Individual Attributes"
- Interpersonal Skills
- Group Process Skills: Facilitation, Negotiation, Collaborative Problem Solving
- Conflict management
- Strategy and vision
- Technical skills

So What?

Insights for Human Resources, Sustainability and Governance

8 Tips from Master Collaborators

#1: Common Purpose

#2: Learn Interest-Based Collaborative Problem-Solving

#3: Don't Be Afraid of Conflict: Expect It

#4: Face-to-Face

8 Tips from Master Collaborators

#5: Improvise

■ #6: Ask Thoughtful Questions

#7: Don't Give Up

#8: Focus on Performance

Collaborating Across Boundaries: Insights for Human Resources, Sustainability and Governance

Rosemary O'Leary, Ph.D.
Edwin O. Stene Distinguished Professor;
President, Public Management Research Association; and Director, School of Public Affairs and Administration University of Kansas, USA

oleary@ku.edu

Selected References

- Rosemary O'Leary, "Collaborative Public Management: The U.S. Perspective" Chapter 20, pp. 334-353 in Edmund C. Stazyk and H. George Frederickson, eds. <u>The International Handbook of Public Management</u>. North Hampton: Edgar Elgar (2018).
- Lisa Blomgren Amsler and Rosemary O'Leary, "Collaborative Public Management and Systems Thinking," <u>International Journal of Public Sector Management</u>, 30 (6/7), 1-14 (2017).
- Daniel H. Nelson, Rosemary O'Leary, Larry D. Schroeder, Misty Grayer and Nidhi Vij, "Collaboration Across Boundaries in the Indian Forest Service" Chapter 17 in Richard Margerum and Catherine Robinson, editors, <u>The Challenge of Collaboration in Environmental Governance</u>. Northampton: Edward Elgar Publishing (2016).
- Rosemary O'Leary, "Retrofitting Collaboration Into the New Public Management: Lessons from New Zealand." <u>Ewha Journal of Social Sciences</u>, 32 (1) 5-51 (2016).
- Mark T. Imperial, Sonia Ospina, Erik Johnston, Rosemary O'Leary, Jennifer Thomsen, Peter Williams, and Shawn Johnson, "Understanding Leadership in a World of Shared Problems: Advancing Network Governance in Large Landscape Conservation." <u>Frontiers in Ecology and the Environment</u> 14(3):126-134 (March 2016).
- George E. Mitchell, Rosemary O'Leary, and Catherine Gerard, "Collaboration and Performance: Perspectives from Public Managers and NGO Leaders" <u>Public Performance Management Review</u> 38 (4), 684-716 (2015).

Selected References, continued

- Rosemary O'Leary, "Becoming an Effective Collaborator," Chapter 29, pp. 528-545 in Jim Perry and Rob Christianson, editors, <u>The Handbook of Public Administration</u>, 3rd ed. San Francisco: Jossey Bass (2015).
- Rosemary O'Leary and Catherine Gerard, "Collaborative Governance and Leadership: A 2012 Survey of Local Government Collaboration" Chapter 6, pp. 43-56, in The International City/County Management Association, editor, <u>The Municipal Yearbook</u>. Washington D.C.: ICMA (2013).
- Rosemary O'Leary, Yujin Choi, and Catherine Gerard. "The Skill Set of the Successful Collaborator" <u>Public Administration Review</u>, 72 (S1) 70-83 (2012).
- Rosemary O'Leary and Nidhi Vij. "Collaborative Public Management: Where Have We Been and Where Are We Going? <u>American Review of Public Administration</u>, 42, 507-522 (2012).
- Rosemary O'Leary and Catherine Gerard, <u>Collaboration Across Boundaries</u>: <u>Insights and Tips from Federal Senior Executives</u>. IBM Center for the Business of Government, 50 pp. (2012).
- Rosemary O'Leary and Lisa Blomgren Bingham, <u>A Mana ge r's Guide to R esolving Conflicts in Collaborative Networks</u>. IBM Center for the Business of Government, 50 pp. (2007).